

**CURRICULUM VITAE**  
**December 31 2015**

**NAME AND CURRENT POSITION:**

V. Umashanker Trivedi  
Associate Professor,  
Schulich School of Business  
York University

**EDUCATION:**

Ph.D., Arizona State University, August, 1997. Major in Accounting and minor in Economics

Bachelor of Science in Botany, Andhra University, 1984; First Class

**PROFESSIONAL EXAMINATIONS PASSED:**

Chartered Accountant in India, 1988.

**EMPLOYMENT HISTORY:**

2006-current	Associate Professor of Accounting, Schulich School of Business, York University
2001-2006	Assistant Professor of Accounting, Schulich School of Business, York University
1996-2001	Assistant Professor of Accounting, MGD School of Business, McMaster University
1990-1996	Graduate Assistant, School of Accountancy, Arizona State University
1989-1990	Accounts Officer, India Equipment Leasing Limited, Chennai, India
1988-1989	Accounts Officer, Chemicals and Plastics India Limited, Mettur, India
1984-1987	Articled Clerk, Brahmayya & Co., Chartered Accountants, Chennai, India

**ACADEMIC HONOURS:**

- Part of team that submitted a successful proposal for an amount in excess of **\$300,000** to the Certified General Accountants (CGA) to create the **research oriented** CGA-York University Program for Accounting, Ethics and Corporate Governance, which includes the establishment of an experimental economics laboratory at Schulich -- 2003.
- Competitive research grant of \$10,000 from the CICA-CAAA Research Grant Program (with co-applicants Dr. Kate Bewley of York University and Dr. Susan McCracken of the University of Toronto) in 2005
- Competitive research grant from the Canadian Institute of Chartered Accountants (with co-applicant Dr. Ping Zhang of the University of Toronto) – \$9,980 – February 2002.
- Runner-up, Best Paper Award 2003, *8th Professionalism and Ethics Conference*, Sponsored by the Professionalism and Ethics Committee of the AAA, Hawaii, August 2003.

## **OTHER COMPETITIVE RESEARCH GRANTS:**

- **CPA fund, Accounting Area for \$12,000 – July, 2015.**
- **CMA fund, Accounting Area for \$5,100 – Nov. 2014.**
- York University, SSHRC Small Grants Program -- \$3,500 -- Nov. 2003.
- Schulich School of Business Junior Faculty Fund Grant for \$2000 – 2002.
- McMaster University, Arts Research Board Grant for \$3,500 – March 2001.
- McMaster University, Arts Research Board Grant for \$5,000 – March 1997.

## **REFEREED ACADEMIC JOURNALS (Published or Accepted):**

1. Annisette, M., and V. U. Trivedi. Globalization paradox and the (un)making of identities: Immigrant Chartered Accountants of India in Canada. *Accounting, Organizations, and Society*, January 2013.
2. Cadsby, B. C., E. Maynes, and V. U. Trivedi. Tax Compliance and Obedience to Authority at Home and in the Lab: A New Experimental Approach. *Experimental Economics*, December 2006.
3. Trivedi, V. U. and J. Chung. Impact of High, Moderate, and No Monetary Incentives on Tax Compliance Behaviour in the Laboratory. *Behavioural Research in Accounting*, 2006.
4. Trivedi, V. U., M. Shehata, and S. Mestelman. Attitudes, Incentives, and Tax Compliance. *Canadian Tax Journal*, May 2005.
5. Chung, J. and V. U. Trivedi. The Effects of Friendly Persuasion and Gender on Tax Compliance Behavior *Journal of Business Ethics*, October 2003.
6. Trivedi, V. U., M. M. Shehata, and B. E. Lynn. Impact of Personal and Situational Factors on Tax Compliance: An Experimental Analysis. *Journal of Business Ethics*, October 2003.

## **TEXT BOOKS**

1. Advanced Financial Accounting. 6<sup>th</sup> & 7<sup>th</sup> Editions. Beechy, T.H., V.U. Trivedi, and K.E. MacAulay, Pearson Canada.

## **WORKING PAPERS**

1. **Trivedi, V. U., and M. Annisette. Factors Associated with the Success (or Lack Thereof) of Immigrant Indian Chartered Accountants in Canada. (Included Jeff Everett as a co-author. Waiting for him to revise the paper, potentially to send it to AOS).**
2. **Bewley, K., S. McCracken, and V. U. Trivedi. Comprehensive Income Reporting, Recycling and Core Versus Non-core Income Reporting: An Experimental Investigation. Have decided to collect more data, using methodology of Experimental Economics. Experiments will commence in Winter/Summer of 2016. At least two papers expected out of the new experiments.**
3. Zhang, P., L. Thorne, and V. U. Trivedi. Revealing the Accuracy of Prior Estimates and Firm Valuation.
4. Chung, J., and V. U. Trivedi. The effect of affective states on risk preferences: An experimental examination with monetary incentives.

## **CURRENT RESEARCH PROJECTS**

- 1. Learnt to program in Z-Tree an Experimental Markets program. Had the IT team load it in S335. Have conducted both pilot an actual experimental sessions. Teaching experimental markets research method to June Woo Park via an independent study class.**
- 2. Relative Importance of Different Types of Corporate Social Responsibility Activities and their Aggregated versus Disaggregated Reporting: An Experimental Examination. Applied and obtained \$5,100 funding from CMA funds from the Accounting Area. Experiments will be conducted in Winter/Summer of 2016.**
- 3. Impact of Greenwashing in the Presence of Positive versus Negative Corporate Social Responsibility Investments. Co-researcher June Woo Park, Ph.D. Candidate in Accounting, Schulich School of Business, York University. Pilot study conducted in November 2015. Experiments will be conducted in Winter/Summer of 2016.**
- 4. Compliance in the Presence of Corrupt Tax Authorities and Tax Planning. Co-researcher Dr. Amin Mawani. Accounting Area, Schulich School of Business. 14 sessions conducted by December 2015.**

## **REFEREED ACADEMIC CONFERENCE PRESENTATIONS**

- 1. Canadian Accounting Association, 2015 Annual Conference, Toronto, June 2015.**
- 2. European Accounting Association 2015 Annual Conference, Glasgow, April 2015.**
- 3. Alternative Accounting Conference 2015, Ottawa, April 2015.**
- American Accounting Association 2005 Annual Meeting, San Francisco, August 2005 (two papers).
- 10th Professionalism and Ethics Conference, sponsored by the Professionalism and Ethics Section of the AAA, San Francisco, August 2005.
- Canadian Academic Accounting Association 2005 Annual Meeting, Quebec City, June, 2005
- Administrative Sciences Association of Canada's 33<sup>rd</sup> Annual Conference, Toronto, May 2005.
- European Accounting Association 2005 Annual Meeting, May 2005, Gothenburg, Sweden (two papers).
- American Accounting Association 2003 Annual Meeting, Hawaii, August, 2003 (two papers).
- 8th Professionalism and Ethics Conference, sponsored by the Professionalism and Ethics Section of the AAA, Hawaii, August 2003.
- Canadian Academic Accounting Association 2003 Annual Meeting, Ottawa, June, 2003 (three papers).
- European Accounting Association 2003 Annual Meeting, Seville, Spain, April, 2003.
- ABO 2002 Conference, Dallas, October 2002.
- American Accounting Association 2002 Annual Meeting, San Antonio, August, 2002 (two papers).
- Canadian Academic Accounting Association 2002 Annual Meeting, Montreal, June, 2002.
- 6th Professionalism and Ethics Conference, Sponsored by the Professionalism and Ethics Section of the AAA, Atlanta, August 2001.
- Emerging Issues in International Accounting Conference, August 3-5, 2000, Niagara Falls, Canada. Conference conducted by the Center for International Accounting and Research, Niagara University and the Center for International Education and Research in Accounting, University of Illinois -- Urbana-Champaign.
- Second Biennial Canadian Tax Education and Research Conference, July 23-25, 1998, Wilfrid Laurier University.

## **INVITED PRESENTATIONS**

- Eight invited presentations including at:
  - The *Tax Policy Research Symposium: Perspectives from Law & Accounting*, August 2004, attended by all top tax policy researchers in Canada including *Canada Revenue Agency* research personnel.
  - The Institute for Financial Management and Research Centre for Development Finance, Chennai, India, Summer 2006.

## **OTHER SEMINARS AND WORKSHOPS**

- CMA\_Schulich Accounting Seminar Series presentation on April 17, 2014: Factors Associated with the Success (or Lack Thereof) of Immigrant Indian Chartered Accountants in Canada. Working paper with Marcia Annisette.
- CA-Schulich Alliance Workshop on Advanced Financial/Multi-Competency Topics in Accounting, March 17, 2012, 9:00 am-4:30 pm.

## **REFEREED ACADEMIC CONFERENCE FORUM PRESENTATIONS**

- 7th Professionalism and Ethics Conference, sponsored by the Professionalism and Ethics Section of the AAA, San Antonio, August 2002.

## **DISCUSSANT OR AD-HOC REVIEWER**

- **Journal of Business Ethics**
- Behavioral Research in Accounting
- Canadian Accounting Perspectives
- **Canadian Tax Journal**
- Issues in Accounting Education
- Professionalism and Ethics Annual Conferences
- **American Academic Association Annual Conference 2015**
- **Canadian Academic Accounting Association Annual Conference 2015**
- Reviewer for Social Sciences and Humanities Research Council grant application (2001, 2006)
- Book Reviewer for Cambridge University Press

## **CURRICULUM DEVELOPMENT**

### **Schulich School of Business, York University**

- Accounting 7010, Ph.D. level course. Overview of Accounting Research. Fall 2004. First half of course.
- Accounting 4160/6160, undergraduate & graduate level courses. Advanced Financial Accounting.
- Accounting 4200/6200, undergraduate & graduate cross-listed course. Contemporary Issues in Accounting.
- Accounting 4200, undergraduate level course. Auditing Accounting Information Systems

### **Michael DeGroot School of Business, McMaster University**

- Business A723, MBA level course. Accounting Information Systems.
- Business A731, MBA level course. Introductory Auditing.
- Commerce 4AE3, undergraduate level course. Accounting Information Systems.
- Commerce 4AD3, undergraduate level course. Introductory Auditing.
- Commerce 3AB3, undergraduate level course. Intermediate Financial Accounting.

#### **W.P. Carey School of Business, Arizona State University**

- Part of initial team teaching the revised introductory accounting sequence (Accounting 230 and 240) at the undergraduate level under a \$1 Million grant by the *Accounting Education Change Commission*; Fall 1992 and Spring 1993.
- Instituted design changes in ACC 230, undergraduate level course, taught on a stand-alone basis during Summer 93 and Summer 94.

#### **COURSES COORDINATED (including mentoring juniors and new instructors)**

- **Advanced Financial Accounting, 2008-present.**
- **Contemporary Issues in Accounting, 2010-present.**
- Introduction to Financial Accounting 2010, Winter 2005.

#### **COURSES TAUGHT**

##### **Schulich School of Business, York University**

- **Accounting 4160/6160, graduate and undergraduate courses. Advanced Financial Accounting. Winter 2008-present.**
- **Accounting 4200/6200, graduate and undergraduate. Contemporary Issues in Accounting. Winter 2010-present.**
- Accounting 7020, Ph.D. Seminar course. Fall 2005.
- Accounting 7010, Ph.D. level course. Overview of Accounting Research. Fall 2004. Co-taught course, responsible for first half of the course.
- Accounting 4620, undergraduate level course. Auditing Information Systems. Summer 2003, Winter 2009.
- Accounting 2010, undergraduate level course. Financial Accounting for Decision Making I. Fall 2001, 2002, 2003, 2004, 2005.
- Accounting 2011, undergraduate level course. Financial Accounting for Decision Making II. Winter 2002, 2003.

##### **Michael DeGroote School of Business, McMaster University**

- Business A723, MBA level course. Accounting Information Systems. Fall 2000, 1999, 1998, 1997.
- Business A731, MBA level course. Introductory Auditing. Winter 1997, 1998.
- Commerce 4AE3, undergraduate level course. Accounting Information Systems. Fall 1997, 1998, 1999, 2000.
- Commerce 4AD3, undergraduate level course. Introductory Auditing. Fall 1996, 1997, 1998.
- Commerce 3AB3, undergraduate level course. Intermediate Financial Accounting. Fall 1996, 1997, 1998, 1999, 2000.

##### **W.P. Carey School of Business, Arizona State University**

- Accounting 340, undergraduate level course. External Reporting I. Summer 1995.
- Accounting 340, undergraduate level course. External Reporting I Lab In-charge. Fall 1994, Spring & Fall 1995, Spring 1996.
- Accounting 240, undergraduate level course. Uses of Accounting Information II. Spring 1993.

- Accounting 230, undergraduate level course. Uses of Accounting Information I. Summer of 1993, 1994, and 1996.

#### **PH.D. DESSERTATION COMMITTEE MEMBERSHIP**

1. Carolyn MacTavish, “The Effect of Auditors’ Communication of the Professional Practice Department’s Decision on Auditor-Client Negotiations” in progress (completed July 9, 2013).

#### **GRADUATE INDEPENDENT STUDIES SUPERVISED**

##### **Schulich School of Business, York University**

1. **June Woo Park. “Foundation and Application of Experimental Economics” April 2015-**
2. Gena Giansante, “Independent Study on Auditing Information Systems” Summer 2003.

##### **Michael DeGroot School of Business, McMaster University**

3. Joseph Addei-Bauwah, “Special Project in Accounting” Summer 1997-Fall 1998.
4. Chami Ghassan, “Introducing SAP into the AIS course” Winter 2001.

#### **SERVICE**

##### **York University**

- **Chairman/member, YUFA-FISC committee 2014-.**
- **Member, MBA program committee 2014-.**
- **Member, MBA Admissions Committee, Schulich School of Business 2007-2012, 2013-2015.**
- **Member, MAcc. Adhoc committee, Accounting Area 2015-.**
- In-charge of competency mapping initiative in accounting area, Fall 2013.
- **Affirmative Action representative for OMIS area, 2013-2014, 2015-2016.**
- Chair, Senate Appeals Committee, York University 2009.
- Member, Senate Appeals Committee, York University 2007-2009.
- Coordinator, Accounting Area Guest Speaker Seminar Series July 2001 to 2006.
- Member, IMBA Committee July 2005 to June 2006.
- Member, Student Affairs Committee July 2001 to June 2005.
- Accounting Area Faculty Recruitment July 2001 to present.
- Dean’s representative for Fei Song’s dissertation defence, Ph.D. candidate within the Organizational Behaviour area of Schulich in 2005.
- Chair of the comprehensive exam committee for Elizabeth LaRegina and member of the comprehensive exam committee for Joanne Jones and Kobboon Chotruangprasert, all three being Ph.D. students in the accounting area at Schulich.

##### **Michael DeGroot School of Business, McMaster University**

- Member & Chair, Undergraduate Prizes & Scholarship Committee August 97-June 98.
- Member, Dean’s Advisory Committee on Computing August 96-June 98.
- Member, Undergraduate Prizes & Scholarship Committee August 98-June 99. July 99-June 01.
- Member, Undergraduate Liaison Committee August 96-June 98.
- Member, Undergraduate Curriculum and Calendar Committee July 99-June 02.
- Member, Graduate Admissions and Study Committee July 99-June 02.
- Accounting Area Faculty Recruitment July 1996 to June 2001.

**PROFESSIONAL AFFILIATIONS**

- Institute of Chartered Accountants of India.
- Canadian Accounting Association.
- European Accounting Association.