DEAN E. NEUProfessor of Accounting
Schulich School of Business
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Research Achievements

- 20 publications in FT45 publication outlets (<u>Accounting, Organizations and Society;</u>
 <u>Contemporary Accounting Research; Journal of Business Ethics</u>) and 51 publications in other refereed academic journals
- Winner 2016 Canadian Academic Accounting Association Award for Distinguished Contribution to Accounting Thought
- Co-winner of Dean's Award for Research Leadership (2006) and winner (2001) which is presented annually for outstanding research leadership over the preceding five year period in the Faculty of Management, Haskayne School of Business

Teaching Achievements

- 4.1 historical teaching evaluation (5 point scale) and co-winner of MBA teaching award (2001)
- actively involved in PhD education as a supervisor and committee member

Service Achievements

- editor, Critical Perspectives on Accounting
- recipient of the Institute of Chartered Accountants of Alberta Distinguished Service Award (1997) which is granted in recognition of outstanding service to the profession over the preceding three year period.

EDUCATION

PhD	Queen's University, 1989
CA	Ontario, 1985
MBA	York University, 1983
BBA	Wilfrid Laurier University, 1982

EMPLOYMENT HISTORY

York University, Professor of Accounting

2009 to present

Responsible for teaching financial accounting.

University of Calgary, Future Fund Professor of Accounting

1989 to 2009

Responsible for teaching introductory/intermediate financial and management accounting.

Universidad de Autonoma del Estado de Morelos, Visiting Professor

2002

Responsible for teaching financial governance in education

University of Toronto, Visiting Professor

1991

Responsible for teaching advanced financial accounting.

Doane Raymond Management Consultants and Chartered Accountants

1983 to 1986

Prepared business plans, forecasts and valuation reports and supervised audits.

ACADEMIC PUBLICATIONS

- #71. "Praxis, Doxa and research methods: Reconsidering critical accounting" (with Jeff Everett, Abu Rahaman, and Gajindra Maharaj) Critical Perspectives on Accounting (2015) 32(1).
- #70. "Preventing Corruption within Government Procurement: constructing the disciplined and ethical subject." (with Jeff Everett and Abu Rahaman) <u>Critical Perspectives on Accounting</u> (2015) 28(1).
- *#69. "Accounting and Sweatshops: Enabling Coordination and Control in Low-Price Apparel Production Chains." (with Jeff Everett and Abu Rahaman) <u>Contemporary Accounting Research</u> (2014) 31 (2) 322-346.
- *#68. "Accounting and networks of Corruption." (with Jeff Everett, Abu Rahaman, & Daniel Martinez) Accounting, Organizations and Society (2013) 38 (6-7) 505-524.
- #67. Trust, Morality, and the Privatization of Water Services in Developing Countries," (with Jeff Everett & Abu Rahaman) Business and Society Review. (in press).
- #66. "Les Vérificateurs Internes 'Sur la Crête': Idéologie, Politique, Éthique et Lutte Contre la Fraude

- et la Corruption." (with Jeff Everett & Abu Rahaman) Télescope, 18(3), 131-156.
- *#65. "Internal Auditing and Corruption within Government." (with Jeff Everett & Abu Rahaman) Contemporary Accounting Research (2013) 30 (3) 1223-1250.
- *#64. "Accounting and Undocumented Work." <u>Contemporary Accounting Research</u> (2012) 29 (1): 13-37.
- *#63. "Accounting for Social Purpose Alliances: confronting the HIV/AIDS pandemic in Africa" (with Abu Rahaman & Jeff Everett) Contemporary Accounting Research (2010) 27 (4): 1093-1129.
- #62. "The Sign Value of Accounting: IMF structural adjustment programs and African Banking Reform" (with Abu Rahaman, Jeff Everett & Akin Akindayomi) <u>Critical Perspectives on Accounting</u> (2010) 21 (5): 402-419.
- #61. "Accounting Assemblages, desire and the body without organs: a case study of international development lending in Latin America" (with Jeff Everett & Abu Rahaman) <u>Accounting</u>, <u>Auditing and Accountability Journal</u> (2009) 22 (3): 319-350.
- #60. "Management Education and Market Discipline" (with Claudia Quintanilla) <u>Journal of Management Education</u> 32 (2008) pp. 697-715.
- #59. "Multi-Stakeholder Labour Monitoring Organizations: Egoists, Instrumentalists, or Moralists?" (with Jeff Everett and Daniel Martinez) <u>Journal of Business Ethics</u> 81 1 (2008) pp. 117-142.
- #58. "Diffusing Financial Practices in Latin American Higher Education" (with Elizabeth Ocampo and Leiser Silva) Accounting, Auditing and Accountability Journal) 21 1 (2008) pp. 49-77.
- #57. "Doing Missionary Work" (with Elizabeth Ocampo) <u>Critical Perspectives on Accounting</u> 18 3 (2007) pp. 363-389.
- #56. "Accounting and the move to privatize water services in Africa" (with Abu Rahaman and Jeff Everett) Accounting, Auditing, Accountability Journal 20 5 (2007) pp. 637-670.
- #55. "Social Responsibility Accounts"(with Elizabeth Ocampo) <u>Advances in Public Interest Accounting</u> 12 (2007) pp. 81-113.
- *#54. "Accounting and the Global Fight Against Corruption" (with Jeff Everett and Abu Rahaman)
 Accounting, Organizations and Society 32 6 (2007) pp. 513-542.
- #53. "Auditor and Audit Independence in the Age of Financial Scandal" (with David Cooper)

 <u>Advances in Public Interest Accounting</u> 12 (2006) pp. 1-15.
- #52. "A Canadian Accounting Perspectives Special Issue on Accounting History: Applied and Policy Perspectives" (with Harjinder Deol) Accounting Perspectives 5, 1 (2006).
- *#51. "Informing Technologies and the World Bank" (with Cameron Graham, Elizabeth Ocampo, Monica Heincke) <u>Accounting, Organizations and Society</u> 31, 7 (2006) pp. 635-662.

- *#50. "Accounting for Public Spaces" <u>Accounting, Organizations and Society</u> 31, 4/5 (2006) pp. 391-414.
- *#49. "The Global Fight against Corruption: A Foucaultian, Virtues-Ethics Framing" (with Jeff Everett and Abu Rahaman) <u>Journal of Business Ethics</u> 65, 1 (2006) pp. 1-12.
- *#48. "Birth of a Nation: Accounting and Canada's First Nations" (with Cameron Graham) <u>Accounting</u>, <u>Organizations and Society</u> 31, 1 (2006) pp. 47-76.
- #47. "The Ethics of World Bank Lending" (with Elizabeth Ocampo) Accounting Forum (2006) pp. 1-19.
- #46. "Accounting and the Public Interest: an introduction" (with Cameron Graham) <u>Accounting</u>, <u>Auditing and Accountability Journal</u> 18, 5 (2005) pp. 585-591.
- #45. "Financial Scandals, Accounting Change and the Role of Accounting Academics: A perspective from North America" (with David Cooper and Jeff Everett) <u>European Accounting Review</u> 14, 2 (2005) pp. 373-382.
- #44. "Accounting and the Holocausts of Modernity" (with Cameron Graham) <u>Accounting, Auditing and Accountability Journal</u> 17, 4 (2004) pp. 578-602.
- #43. "Standardized Testing and the Construction of Governable Persons" (with Cameron Graham) <u>Journal of Curriculum Studies</u> 36, 3 (2004) pp.295-319.
- #42. "Independence, Objectivity and the Canadian CA Profession" (with Jeffery Everett and Duncan Green) <u>Critical Perspectives on Accounting</u> 16, 4 (2005) pp. 415-440.
- #41. "Accounting for Globalization" (with Cameron Graham) <u>Accounting Forum</u> 27, 4 (2003) pp. 449-465.
- #40. "Globalisation and its Discontents: A Concern about Growth and Globalization" (with Christine Cooper and Glen Lehman) Accounting Forum 27, 4 (2004) pp. 359-364.
- #39. "Accounting and Empire: An Introduction" (with Marcia Annisette) <u>Critical Perspectives on Accounting</u> 15, 1 (2004) pp. 1-4.
- #38. "The Subaltern Speaks: financial relations and the limits of governmentality (with Monica Heincke) <u>Critical Perspectives on Accounting</u> 15, 1 (2004) pp. 179-206.
- #37. "Research Productivity Measurement and the Field of Academic Accounting" (with Jeffery Everett and Duncan Green) Canadian Accounting Perspectives 2, 2 (2003)
- #36. The changing internal market for ethical discourses in the Canadian CA profession (with Jeffery Everett and Connie Frieson) Accounting, Auditing and Accountability Journal 16, 1 (2003).
- #35. "Facilitating Globalization Processes: Financial Technologies and the World Bank" (with Elizabeth Ocampo Gomez, Omar García Ponce de León and Margarita Zepeda) <u>Accounting Forum</u> 26, 3 (2002) pp. 257-276.

- #34. "Technocratic Control and Financial Governance: the case of two school districts" (with Alison Taylor and Frank Peters) Educational Administration and Management 30, 4 (2002) pp. 469-487.
- #33. "Responding to Discrediting Events: annual report disclosures" (with Hussein Warsame and Cynthia Simmons) <u>Accounting and the Public Interest</u> (2002) http://aaahq.org/ic/browse.htm
- #32. "School District Deficits and Program Spending in Alberta" (with Alison Taylor and Elizabeth Ocampo Gomez) Canadian Journal of Educational Administration and Policy 21 (2002) pp. 1-17.
- #31. "Financial Reforms in Alberta: the impact on school districts" (with Frank Peters and Alison Taylor) <u>Journal of Education Finance</u> 27, 4 (Spring 2002) pp.1067-1084.
- #30. "Accounting Interventions." (with David Cooper and Jeff Everett) <u>Critical Perspectives on Accounting</u> 12, 6 (2001) pp. 735-762.
- #29. "Accounting for Canada's Indigenous Peoples." translated as "Buchhaltung, Rechenschaftsplfilicht und die eingeborenen Volker Kanadas." (with Richard Therrien) Zeitschrift fur Genozidforschung (Journal of Genocide Studies) 3, 1 (2001) pp. 59-80.
- #28. "Banal Accounts: Subaltern Voices." Accounting Forum 25, 4 (2001) pp. 319-333.
- *#27. "Ethical Discourse and Canadian CAs: 1912-1997." <u>Journal of Business Ethics</u> 30, 3 (2001) pp. 291-304.
- #26. "Locating Accounting." <u>Accounting, Auditing and Accountability Journal</u> 13, 3 (2000) pp. 268-288.
- #25. "Funding Mechanisms, Cost Drivers and the Distribution of Education Funds in Alberta." (with Alison Taylor) <u>Alberta Journal of Educational Research</u> XLVI, 3 (October 2000) pp214-232.
- *#24. "Presents for the 'Indians': Land, Colonialism and Accounting in Canada." <u>Accounting</u>, <u>Organizations and Society</u> 25 (2000) pp 163-184.
- #23. "Discovering Indigenous Peoples: Accounting and the Machinery of Empire." <u>Accounting Historians Journal</u> 26, 1 (June 1999) pp.53-82.
- #22. "Environmental Accounting and the Limits of Ecological Modernization" (with Jeff Everett) Accounting Forum 24, 1, (2000) pp. 5-29.
- #21. "Remembering the Past: Ethics and the Canadian Chartered Accounting Profession, 1911-1925." (with Richard Therrien), Critical Perspectives on Accounting 11, 2 (1999) pp. 193-214.
- *#20. "Managing Public Impressions: Environmental Disclosure in Annual Reports." (with Kathryn Pedwell & Hussein Warsame), Accounting, Organizations and Society 23, 3 (1998) pp. 265-282.
- *#19. "Re-Presenting the External: Canadian CA's 1936-1950." (with Cynthia Simmons), <u>Accounting</u>, <u>Organizations and Society</u> 22, 8, (1997).

- *#18. "The Diagnosis Related Group-Prospective Payment System and the Problem of Government of Rationing Health Care to the Elderly." (with Alistair Preston & Wai Fong Chua) <u>Accounting</u>, <u>Organizations and Society</u> 22, 2 (1997) pp.147-164.
- #17. "Positive Accounting Theory: A Pragmatic Assessment" <u>Accounting Forum</u> 21, 1 (1997) pp. 53-62.
- #16. "Canadian CAs and the Emergence of Ethical Codes." (with Lubna Saleem), <u>Accounting Historians Journal</u> 23, 2 (December 1996) pp. 35-68.
- #15. "Discursive Formations and the Practice of Auditing" (with Cynthia Simmons, Murray Davis and Michael Wright) <u>Accounting Forum</u> 20, 2 (1996) pp 163-183.
- #14. "Managing Social Disclosure: the "cost of social responsibility report." (with Cynthia Simmons) Journal of Applied Accounting Research (1996) pp. 35-58.
- #13. "Accounting and the Politics of Divestment." (with Alison Taylor), <u>Critical Perspectives on Accounting</u> 7, 4 (1996) pp. 437-460.
- #12. "Reconsidering the `Social' in Positive Accounting Theory: The Case of Site Restoration Costs." (with Cynthia Simmons) <u>Critical Perspectives on Accounting</u> 7, 4 (1996) pp. 409-435.
- #11. "Regulating the New Stock Issue Process." (with Cynthia Simmons) <u>Advances in Public Interest Accounting</u> (1995) pp. 287-313.
- "Intermediary Independence and the Strategic Use of Accounting Numbers." (with Cynthia Simmons) <u>Critical Perspectives on Accounting</u> (1995) pp. 29-47.
- #9. "The Accuracy of Canadian and New Zealand Earnings Forecasts: A Comparison of Voluntary Versus Compulsary Disclosures." (with Kathryn Pedwell & Hussein Warsame), <u>Journal of</u> International Accounting and Taxation (1994) pp. 221-236.
- *#8. "A Note on the Association between Audit Firm Size and Audit Quality." (with Ron Davidson) Contemporary Accounting Research (1993) pp. 479-488.
- #7. "Reading the Regulatory Text: Regulation and the New Stock Issue Process." <u>Critical Perspectives on Accounting</u> (1992) pp. 359-388.
- *#6. "Bank Failures, Stigma Management and the Accounting Establishment." (with Michael Wright)

 <u>Accounting, Organizations and Society</u> (1992) pp. 645-666.
- *#5. "The Social Construction of Positive Choices." <u>Accounting, Organizations and Society</u> (1992) pp. 223-238.
- #4. "Trust, Impression Management and the Accounting Profession." <u>Critical Perspectives on</u> Accounting (1991) pp. 295-313.
- *#3. "New Stock Issues and the Institutional Production of Trust." <u>Accounting, Organizations and Society</u> (1991) pp. 185-200.

- *#2. "Trust, Contracting and the Prospectus Process." <u>Accounting, Organizations and Society</u> (1991) pp. 243-256.
- #1. "Exit, Voice, Loyalty and Neglect as Student Responses to Dissatisfaction." (with Tom Mahaffey and Alison Taylor) The Canadian Journal of Higher Education (1991) pp. 71-89.

BOOKS, MONOGRAPHS AND EDITED JOURNALS

- <u>Doing Missionary Work: The World Bank and the Diffusion of Financial Practices</u> (with Elizabeth Ocampo) Fernwood, 2008.
- <u>Truth or Profit? a social history of Canadian Chartered Accountants</u> (with Duncan Green), Fernwood Books, 2006.
- Accounting History Research: A Special Issue of Canadian Accounting Perspectives (with Harjinder Deol) 5, 1 (2006).
- Accounting Research and the Public Interest: A Special Issue of Accounting, Auditing, Accountability Journal (co-edited with Cameron Graham), 18, 5 (2005).
- Accounting and Empire: A Special Issue of Critical Perspectives on Accounting (co-edited with Marcia Annisette), 15, 1 (2004).
- <u>Accounting for Genocide: Canada's Bureaucratic Assault on Aboriginal People</u> (with Richard Therrien), Fernwood & Zed Books, 2003.
- Out of Control: paying the price for privatizing Alberta's liquor control board (with Gordon Laxer, Duncan Green, Trevor Harrison), Canadian Center for Policy Alternatives, 1994.

CURRENT RESEARCH GRANTS

- Social Sciences and Humanities Research Council of Canada (2007-2010)
 Governing Maquila Work: The Role of Monitoring Assemblages
 (\$91,000) (principal investigator), Jeff Everett and Abu Rahaman (co-investigators)
- Social Sciences and Humanities Research Council of Canada (2007-2010)
 Accounting and Healthcare in Four Settings (\$90,000)
 Jeff Everett (principal investigator), Dean Neu and Abu Rahaman (co-investigators)

^{*} Financial Times 45 list of pinnacle administration journals.

PROFESSIONAL PUBLICATIONS (abridged)

- "Higher Education in Alberta" (with Elizabeth Ocampo) <u>Missing Pieces V</u> E. Shaker & D. Delong (eds), (Canadian Center for Policy Alternatives, 2004).
- "Higher Education in Canada and Mexico" (with Elizabeth Ocampo) <u>Our Schools Our Selves</u> (winter 2004).
- "Higher Education in Alberta" (with Cameron Graham) <u>Missing Pieces IV</u> E. Shaker & D. Delong (eds), (Canadian Center for Policy Alternatives, 2003).
- "Accounting for the Banal: financial techniques as softwares of colonialism" <u>Post Colonialism</u> and <u>Organizational Theory</u> A. Prasad (ed), (St. Martens, 2003)
- "The Politics of Educational Funding in Alberta" (with Alison Taylor, Cameron Graham & Elizabeth Ocampo) <u>Our Schools Our Selves</u> (fall 2002) pp. 60-70.
- "Higher Education in Alberta" (with Cameron Graham) Missing Pieces III E. Shaker & D. Delong (eds), (Canadian Center for Policy Alternatives, 2002).
- "Behind the Numbers of Education Funding" <u>Edmonton Journal</u> (February 18, 2002, p. A15)
- "School Board Funding in Alberta" (with Alison Taylor) Policy Options (September 1999).
- "Annual Reports and Environmental Impressions." (with Cynthia Simmons) <u>EnCompass</u> (June 1997).
- "Dancing All the Way to the Bank." (with Cynthia Simmons) <u>Canadian Dimensions</u> (September 1997).
- "Privatizing the ALCB." (with Duncan Green & Alison Taylor) <u>Policy Options</u> (April 1997) pp. 28-30.
- "Washing the Blues Away." (with Anne McGrath) Our Times (March 1996).
- "A Review of Setting Standards for Financial Reporting, FASB and the Struggle for Control of a Critical Process." (with Eric Powrie) <u>Accounting Historians Journal</u>, (December 1995).